

7/24/02

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Approved for use through 10/31/2002. OMB 0651-0031  
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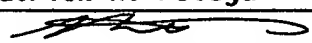
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#6

<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/699,216
	Filing Date	27 October 2000
	First Named Inventor	Patrick H. Potega
	Group Art Unit	2859
	Examiner Name	Gail Kaplan Verbitsky
Total Number of Pages in This Submission		446
Attorney Docket Number		

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks: Specification (clean & marked up versions) Request for Drawing Amendment Previous fee transmittal form Return postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Patrick H. Potega
Signature	
Date	24 July 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 24 July 2002			
Typed or printed name	Patrick H. Potega		
Signature		Date	24 July 2002

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 868.00

## Complete if Known

Application Number 09/699,216  
Filing Date 27 Oct 2000  
First Named Inventor Patrick H. Potega  
Examiner Name Gail Verbitsky  
Group Art Unit 2859  
Attorney Docket No.

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number   
Deposit Account Name
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:  
☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES

Total Claims  - 20\*\* =  X  =   
Independent Claims  - 3\*\* =  X  =   
Multiple Dependent  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

See Attached SUBTOTAL (2) (\$ 408.00  
\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)   
\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 460.00

## SUBMITTED BY

Name (Print/Type) Patrick H. Potega

Registration No. (Attorney/Agent)

## Complete (if applicable)

Telephone 818 340-7268

Signature

Date

24 July 2002

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Application Number : 09/699,216

Filing Date: 27 October 2000

Inventor and Applicant: Patrick H. Potega

### Attachment to Fee Transmittal Sheet

A fee for 60 excess claims over twenty is enclosed. The current claim fee was calculated by subtracting from the total due for the claims in this Amendment, the amount already paid upon filing the original Continuation in Part patent application 27 October 2000 for which there were only 18 total claims and less than 3 independent claims.

\$540.00	80 total claims – 20 = 60 @ \$9 each (small entity)
— \$468.00	Fees originally paid for 52 excess claims*
<hr/>	72 total claims – 20 = 52 @ \$9 each (small entity)
\$ 72.00	Sub-total
\$336.00	11 independent claims – 3 = 8 @ \$42 each (small
entity)	
<hr/>	
\$408.00	TOTAL Excess Claim Fees

\*See copy of the previously submitted Fee Transmittal Form (27 October 2000) with excess claims calculation.

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Patrick H. Potega
Examiner Name	
Group Art Unit	
Attorney Docket No.	

TOTAL AMOUNT OF PAYMENT (\$823.00)

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$355.00)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
72	-20** = 52	x 9	= 468
3	-3** = 0	x --	= -0-
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$468)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**


Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
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118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
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120 310	220 155	Filing a brief in support of an appeal	
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140 110	240 55	Petition to revive - unavoidable	
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143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

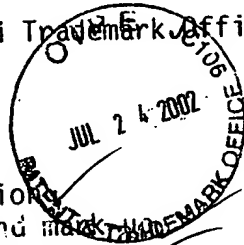
Name (Print/Type)	Patrick H. Potega	Registration No. (Attorney/Agent)		Telephone	(818) 340-7268
Signature				Date	Oct. 27, 2000

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The stamp of the Patent and Trademark Office hereon  
acknowledges receipt of:

- (1) Transmittal Form ✓
- (2) Check ✓
- (3) Response to Office Action ✓
- (4) Specification, clean and marked ✓
- (5) Petition for Drawing Amendment ✓
- (6) Revocation for Power of Atty ✓
- (7) Fee Transmittal Form ✓
- (8) Petition-Extension of Time ✓
- (9) Marked up Drawings+complete set ✓
- (10) Other



Date Mailed:  
24 July 2002

Express Mail No.:  
EU435917355US

STAMP

Inventor: Patrick H. Potega

App. No.: 09/699,216

Title: Apparatus for Enabling Multiple Modes of Operation...

Filing Date: 27 Oct 00

Please find enclosed the following:

- a) Transmittal Form, 1 sheet
- b) Petition for Extension of Time, 1 sheet
- c) Fee Transmittal Form, 2 sheets
- d) Copy of Fee Transmittal Form, as filed with the original CIP Patent Application, 27 October 2000, 1 sheet
- e) Revocation of Power of Attorney Form, 1 sheet
- f) Request for Approval of Proposal Drawing Amendment Form, 1 sheet
- g) Marked up Drawings, 20 sheets
- h) Amendment A (Response to Office Action), 40 sheets
- i) Specification (Version With Markings To Show Changes Made), 173 sheets
- j) Specification (Clean Version With Changes Made), 172 sheets
- k) Complete set of drawings, 32 sheets
- l) Pages excerpted from Notice of Allowance, 9 June 2000, 2 sheets
- m) Check for \$868.00
- n) Return postcard

Please acknowledge receipt hereof by stamping and returning the enclosed return postcard.

Applicant is available by phone (818) 340-7268 and fax (818) 887-3197.

Respectfully Submitted,



Patrick H. Potega

Applicant, Per Se


I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C., 20231 on

24 July 2002

(Date of Deposit)

PATRICK H. POTEGA

(Name of Applicant, Assignee  
or Registered Representative)



(Signature)

24 JULY 2002

(Date)